

**OHIO
PUBLIC WORKS
FOR YOU**

APPLICATION FOR FINANCIAL ASSISTANCE

Revised 7/93

CBK04

IMPORTANT: Applicant should consult the "Instructions for Completion of Project Application" for assistance in the proper completion of this form.

SUBDIVISION: Village of Cleves CODE# 061 - 16028

DISTRICT NUMBER: 2 COUNTY: Hamilton DATE 09 / 25 / 98

CONTACT: William R. McCormick PHONE # (513) 721 - 5500

(THE PROJECT CONTACT PERSON SHOULD BE THE INDIVIDUAL WHO WILL BE AVAILABLE ON A DAY-TO-DAY BASIS DURING THE APPLICATION REVIEW AND SELECTION PROCESS AND WHO CAN BEST ANSWER OR COORDINATE THE RESPONSE TO QUESTIONS)

PROJECT NAME: Spring Street Reconstruction (Morgan to Howell)

SUBDIVISION TYPE

(Check Only 1)

- ☐ 1. County
☐ 2. City
☐ 3. Township
☒ 4. Village
☐ 5. Water/Sanitary District
(Section 6119 O.R.C.)

FUNDING TYPE REQUESTED

(Check All Requested & Enter Amount)

- ☒ 1. Grant \$ 270,000
☐ 2. Loan \$ _____
☐ 3. Loan Assistance \$ _____

MBE SET-ASIDE OFFERED

- Construction \$ _____
Procurement \$ _____

PROJECT TYPE

(Check Largest Component)

- ☒ 1. Road
☐ 2. Bridge/Culvert
☐ 3. Water Supply
☐ 4. Wastewater
☐ 5. Solid Waste
☐ 6. Stormwater

TOTAL PROJECT COST:\$ 300,000 FUNDING REQUESTED:\$ 270,000

DISTRICT RECOMMENDATION
To be completed by the District Committee ONLY

GRANT:\$ 270,000.00

LOAN: \$ _____

LOAN ASSISTANCE: \$ _____

% _____ TERM: _____ yrs. (Attach Loan Supplement)

(Check Only 1)

- ☐ State Capital Improvement Program
☐ Local Transportation Improvements Program
☒ Small Government Program

DISTRICT MBE SET-ASIDE

- Construction \$ _____
Procurement \$ _____

FOR OPWC USE ONLY

PROJECT NUMBER: C _____ /C _____

Local Participation _____ %

OPWC Participation _____ %

Project Release Date: _____ / _____ / _____

OPWC Approval: _____

APPROVED FUNDING:\$ _____

Loan Interest Rate: _____

Loan Term: _____ years

Maturity Date: _____

Date Approved: _____ / _____ / _____

1.1 PROJECT ESTIMATED COSTS:

a.) Project Engineering Costs:

- | | | | |
|----|---------------------------|----------|-----|
| 1. | Preliminary Engineering | \$ _____ | .00 |
| 2. | Final Design | \$ _____ | .00 |
| 3. | Other Engineer Services * | \$ _____ | .00 |
| | Supervision | \$ _____ | .00 |
| | Miscellaneous | \$ _____ | .00 |

- b.) Acquisition Expenses:

- | | | |
|-----------------|----------|-----|
| 1. Land | \$ _____ | .00 |
| 2. Right-of-Way | \$ _____ | .00 |

- | | | |
|-----|---------------------|----------------|
| c.) | Construction Costs: | \$ 300,000 .00 |
|-----|---------------------|----------------|

- | | | | |
|-----|-------------------------------|----|-----|
| d.) | Equipment Purchased Directly: | \$ | .00 |
|-----|-------------------------------|----|-----|

- | | | | |
|-----|------------------------|----|-----|
| e.) | Other Direct Expenses: | \$ | .00 |
|-----|------------------------|----|-----|

- | | | | |
|-----|----------------|----|-----|
| f.) | Contingencies: | \$ | .00 |
|-----|----------------|----|-----|

- g.) TOTAL ESTIMATED COSTS: \$ 300,000.00

(Round to Nearest Dollar and Percent)

- | | | | |
|-----|-----------------------------|----|-----|
| a.) | Local In-Kind Contributions | \$ | .00 |
|-----|-----------------------------|----|-----|

- | | | | |
|-----|-----------------------|-----------|-----|
| b.) | Local Public Revenues | \$ 30.000 | .00 |
|-----|-----------------------|-----------|-----|

- | | | | |
|-----|------------------------|----|-----|
| c.) | Local Private Revenues | \$ | .00 |
|-----|------------------------|----|-----|

- d.) Other Public Revenues

- | | | |
|--------------|----|-----|
| 1. ODOT PID# | \$ | .00 |
|--------------|----|-----|

- | | | |
|-------------|----|-----|
| 2. EPA/OWDA | \$ | .00 |
|-------------|----|-----|

- | | | |
|----------|----|-----|
| 3. OTHER | \$ | .00 |
|----------|----|-----|

SUB TOTAL LOCAL RESOURCES:

\$ 30,000 .00

10

- e.) OPWC Funds

- | | |
|----------|----------------|
| 1. Grant | \$ 270,000 .00 |
|----------|----------------|

- 90

- | | | |
|---------|----|-----|
| 2. Loan | \$ | .00 |
|---------|----|-----|

- | | | |
|--------------------|----|----|
| 3. Loan Assistance | \$ | 00 |
|--------------------|----|----|

SUB TOTAL OPWC RESOURCES:

\$ 270,000.00

90

- f.) TOTAL FINANCIAL RESOURCES:**

\$ 300,000.00

100%

*Other Engineer's Services must be outlined in detail on the required certified engineer's estimate.

1.3 AVAILABILITY OF LOCAL FUNDS:

Attach a summary from the Chief Financial Officer listed in section 5.2 listing all local share funds budgeted for the project and the date they are anticipated to be available.

2.0 PROJECT INFORMATION

IMPORTANT: If project is multi-jurisdictional, information must be consolidated in this section.

2.1 PROJECT NAME: Spring Street Reconstruction

2.2 BRIEF PROJECT DESCRIPTION - (Sections a through d):

a: SPECIFIC LOCATION:

Spring Street - from Morgan to Howell

PROJECT ZIP CODE: 45002

b: PROJECT COMPONENTS:

- 1.) Remove ex. pavement to subgrade.
- 2.) Remove unsuitable materials.
- 3.) Install new storm sewer system.
- 4.) Install new pavement and curbs.
- 5.) Seed and straw.

c: PHYSICAL DIMENSIONS / CHARACTERISTICS:

The existing pavement is 1220' long x 13' wide. We will widen the pavement to 22' where possible. The storm drainage system is non existent and springs continually ice the pavement. The existing pavement width is not sufficient for emergency vehicles.

d: DESIGN SERVICE CAPACITY:

IMPORTANT: Detail shall be included regarding current service capacity vs proposed service level. If road or bridge project, include ADT. If water or wastewater project, include both current residential rates based on monthly usage of 7,756 gallon per household. Attach current rate ordinance.

The current ADT is 250.

2.3 USEFUL LIFE / COST ESTIMATE: Project Useful Life: 20 Years.

Attach Registered Professional Engineer's statement, with original seal and signature certifying the project's useful life indicated above and estimated cost.

3.0 REPAIR/REPLACEMENT or NEW/EXPANSION:

TOTAL PORTION OF PROJECT REPAIR/REPLACEMENT	\$ <u>300.000</u>	<u>100</u> %
State Funds Requested for Repair and Replacement	\$ <u>270.000</u>	<u>90</u> %

TOTAL PORTION OF PROJECT NEW/EXPANSION	\$ _____	____%
State Funds Requested for New and Expansion	\$ _____	____%

4.0 PROJECT SCHEDULE:*

	BEGIN DATE	END DATE
4.1 Engineering/Design:	<u>10/01/98</u>	<u>06/01/99</u>
4.2 Bid Advertisement:	<u>06/01/99</u>	<u>07/01/99</u>
4.3 Construction:	<u>07/01/99</u>	<u>06/10/00</u>

* Failure to meet project schedule may result in termination of agreement for approved projects. Modification of dates must be approved in writing by the Commission once the Project Agreement has been executed. Dates should assume project agreement approval/release on July 1st. of the Program Year applied for.

5.0 APPLICANT INFORMATION:

5.1 CHIEF EXECUTIVE

OFFICER	Harold Duncan
TITLE	Mayor
STREET	101 N. Miami Avenue
CITY/ZIP	Cleves 45002
PHONE	(513) 941-5127
FAX	(513) 941-5299

5.2 CHIEF FINANCIAL

OFFICER	Linda C. Bolton
TITLE	Clerk/Treasurer
STREET	101 N. Miami Avenue
CITY/ZIP	Cleves 45002
PHONE	(513) 941-5127
FAX	(513) 941-5299

5.3 PROJECT MANAGER

TITLE	William R. McCormick
STREET	Project Engineer
CITY/ZIP	2021 Auburn Avenue
PHONE	Cincinnati 45219
FAX	(513) 721-5500

6.0 ATTACHMENTS/COMPLETENESS REVIEW:

Check each section below, confirming that all required information is included in this application.

X A certified copy of the legislation by the governing body of the applicant authorizing a designated official to submit this application and execute contracts. (Attach)

X A summary from the applicant's Chief Financial Officer listing all local share funds budgeted for the project and the date they are anticipated to be available. (Attach)

X A registered professional engineer's estimate of projects useful life and cost estimate, as required in 164-1-14 and 164-1-16 of the Ohio Administrative Code. Estimates shall contain engineer's original seal and signature. (Attach)

 A copy of the cooperation agreement(s) if this project involves more than one subdivision or district. (Attach)

 Capital Improvements Report: (Required by 164 O.R.C. on standard form)

 A: Attached.

 X B: Report/Update Filed with the Commission within the last twelve months.

 Floodplain Management Permit: Required if project is in 100 year floodplain. See Instructions.

X Supporting Documentation: Materials such as additional project description, photographs, economic impact (temporary and/or full time jobs likely to be created as a result of the project), and other information to assist your district committee in ranking your project.

7.0 APPLICANT CERTIFICATION:

The undersigned certifies that: (1) he/she is legally authorized to request and accept financial assistance from the Ohio Public Works Commission; (2) that to the best of his/her knowledge and belief, all representations that are part of this application are true and correct; (3) that all official documents and commitments of the applicant that are part of this application have been duly authorized by the governing body of the applicant; and, (4) should the requested financial assistance be provided, that in the execution of this project, the applicant will comply with all assurances required by Ohio Law, including those involving minority business utilization, Buy Ohio, and prevailing wages.

IMPORTANT: Applicant certifies that physical construction on the project as defined in the application has NOT begun, and will not begin until a Project Agreement on this project has been executed with the Ohio Public Works Commission. Action to the contrary will result in termination of the agreement and withdrawal of Ohio Public Works Commission funding of the project

Harold D. Duncan, Mayor, Village of Cleves

Certifying Representative (Type or Print Name and Title)

Harold Duncan 9/23/98
Signature/Date Signed

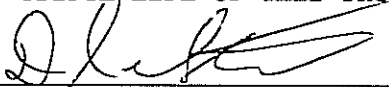
PROJECT: SPRING STREET RECONSTRUCTION
ENG. EST.: \$300,000.00

ENGINEER'S
ESTIMATE

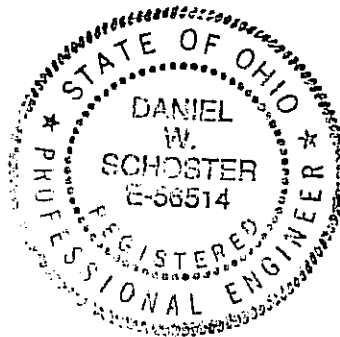
REF NO	ITEM NO	DESCRIPTION	UNIT	QUANT	UNIT	TOTAL
1	201	CLEARING AND GRUBBING	LS	1	10000.00	\$ 10,000.00
2	202	PAVEMENT REMOVED	SY	2000	12.00	\$ 24,000.00
3	203	EXCAVATION, NOT INCL. EMBANKMENT	CY	1000	15.00	\$ 15,000.00
4	203	SUBGRADE COMPACTION	SY	2000	2.00	\$ 4,000.00
5	301	BITUMINOUS AGGREGATE BASE	CY	1800	65.00	\$117,000.00
6	404	ASPHALT CONCRETE	CY	500	65.00	\$ 32,500.00
7	604	CB-3	EA	8	2000.00	\$ 16,000.00
8	609	CURB, TYPE 6	LF	1200	12.00	\$ 14,400.00
9	614	MAINTAINING TRAFFIC	LS	1	5000.00	\$ 5,000.00
10	623	CONSTRUCTION LAYOUT STAKES	LS	1	5000.00	\$ 5,000.00
11	659	SEEDING AND MULCHING	SY	500	5.00	\$ 2,500.00
12	SPL	UTILITY ADJUSTMENTS	LS	1	19600.00	\$ 19,600.00
13	SPL	CONTINGENCY	LS	1	35000.00	\$ 35,000.00

TOTAL ESTIMATED COST \$300,000.00

I HEREBY CERTIFY THIS TO BE AN ACCURATE ESTIMATE OF THE PROPOSED PROJECT.
THE USEFUL LIFE OF THIS PROJECT IS 20 YEARS.



Daniel W. Schoster, P.E.





Village of Cleves, Ohio

MAYOR, HAROLD DUNCAN
(513) 941-5127

101 NORTH MIAMI AVENUE
CLEVES, OHIO 45002

INCORPORATED 1875

CLERK / TREASURER
LINDA C. BOLTON
(513) 941-5127

CHIEF OF POLICE
E. RUSSELL MESSER
(513) 941-1212

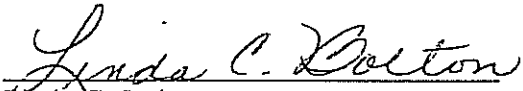
STREET COMMISSIONER
JOHN BOOTH
(513) 941-3618

VILLAGE OF CLEVES

STATUS OF FUNDS

PROJECT: Spring Street Reconstruction

The Village of Cleves will utilize \$30,000 from its General Fund as its participation for the Spring Street Reconstruction Project.


Linda C. Bolton

Clerk/Treasurer
Village of Cleves

Date: 9/24/98

ORDINANCE NO. 17, 1998

ORDINANCE AUTHORIZING THE MAYOR TO MAKE
APPLICATION FOR FISCAL 1999 STATE CAPITAL IMPROVEMENT
PROGRAM FUNDS AND THE FUNDS ARE AWARDED TO EXECUTE
GRANT AGREEMENT ON BEHALF OF THE CITY
AND DECLARING AN EMERGENCY

WHEREAS, the Cleves Village Council believes that it is in the best interest of the Village
to make application for State Capital Improvement Program Funds;

NOW, THEREFORE, BE IT ORDAINED by the Council of the Village of Cleves, State
of Ohio:

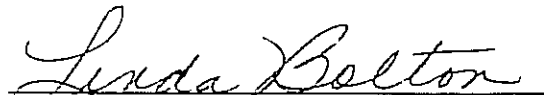
- Section 1.** That the Mayor is hereby authorized and directed to make application
in conjunction with the Village Engineer for State Capital
Improvement Program (SCIP) Funds for fiscal 1999 for street
projects in the Village of Cleves.
- Section 2.** That if grants are awarded, the Mayor is authorized to execute grant
agreements with the State on behalf of the City.
- Section 3.** That this Ordinance is hereby declared to be an emergency Ordinance
necessary for the immediate preservation of the health, safety, and
welfare of the Village of Cleves. The reason for the emergency is to
enable the application process to begin forthwith.

PASSED THIS 12TH DAY OF AUGUST, 1998



HAROLD DUNCAN

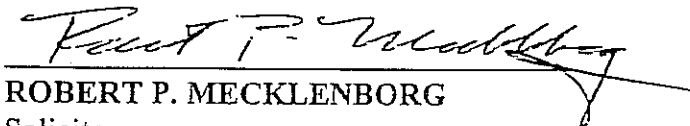
Mayor



LINDA BOLTON

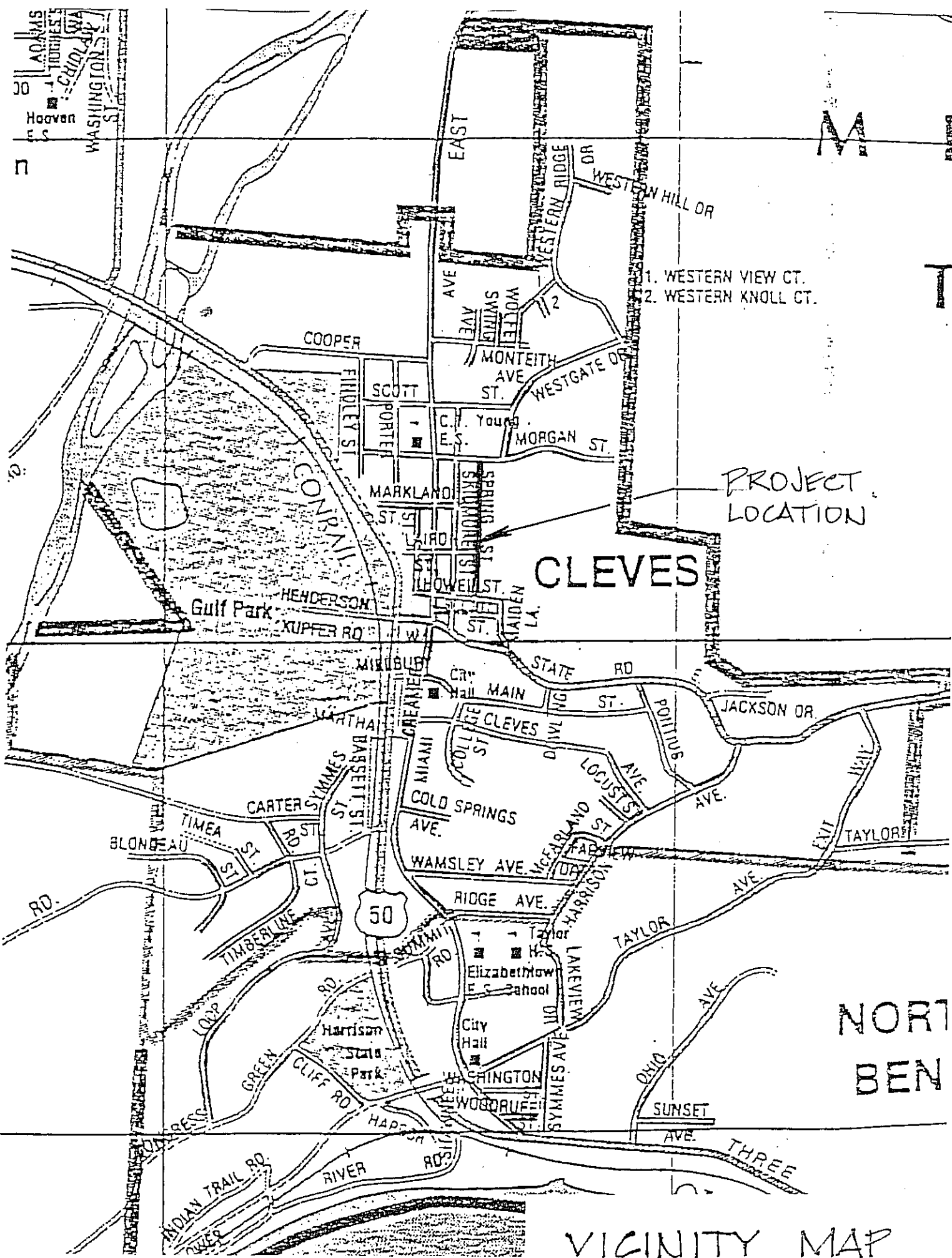
Clerk

Approved as to Form:



ROBERT P. MECKLENBORG

Solicitor



1. WESTERN VIEW CT.
2. WESTERN KNOLL CT.

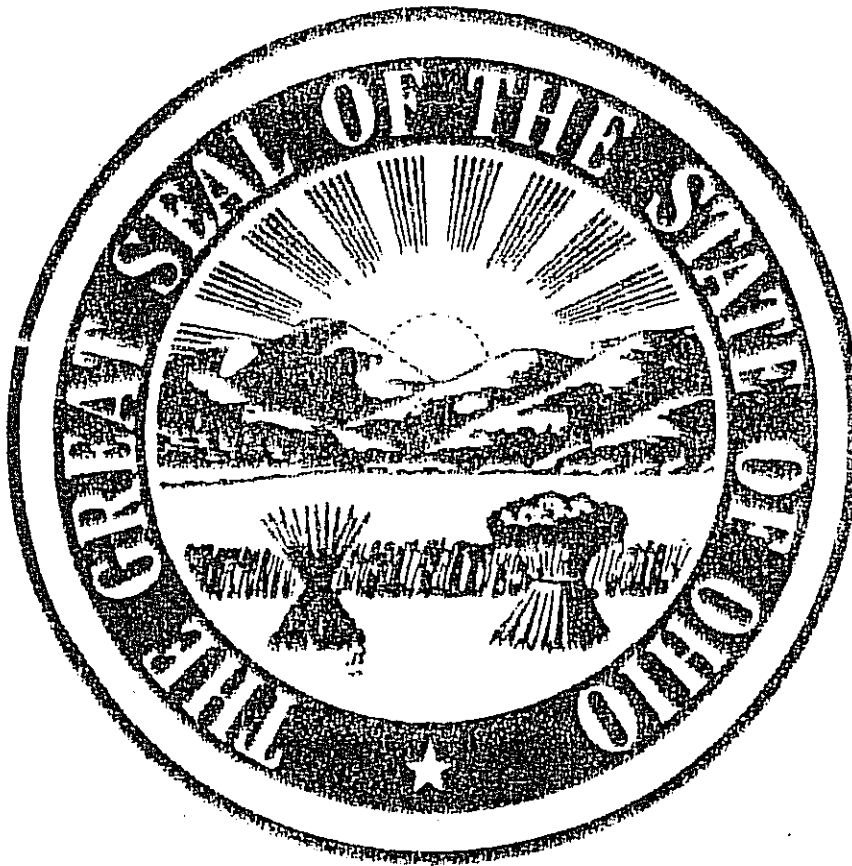
PROJECT
LOCATION

CLEVELAND

NORTH
BEN

VICINITY MAP

CITY/VILLAGE TAX BUDGET



Instructions and Tax Budget Form

City or
 Village of Cleves
Hamilton County, Ohio
 (Date) _____, 19____

This Budget must be adopted by the Council or other legislative body on or before July 15th, and two copies must be submitted to the County Auditor on or before July 20th. FAILURE TO COMPLY WITH SEC. 5705.28 R. C. SHALL RESULT IN LOSS OF LOCAL GOVERNMENT FUND ALLOCATION.

To the Auditor of said County:

The following Budget year beginning January 1, 1999, has been adopted by Council and is herewith submitted for consideration of the County Budget Commission.

Signed _____

Title _____

SCHEDULE A

SUMMARY OF AMOUNTS REQUIRED FROM GENERAL PROPERTY TAX APPROVED BY BUDGET COMMISSION, AND COUNTY AUDITOR'S ESTIMATED RATES

For Municipal Use		For Budget Commission Use		For County Auditor Use	
FUND (Include only those funds which are requesting general property tax revenue)	Budget Year Amount Requested of Budget Commission Inside/ Outside	Budget Year Amount Approved by Budget Commission Inside 10 Mill Limitation	Budget Year Amount to be Derived From Levies Outside 10 Mill Limitation	County Auditor's estimate of Tax Rate to be Levied	
				Inside 10 Mill Limit Budget Year	Outside 10 Mill Limit Budget Year
	Column 1	Column 2	Column 3	Column 4	Column 5
GOVERNMENT FUNDS	XXXXXXXX	XXXXXXXX	XXXXXXXX	XXXXXXXX	XXXXXXXX
GENERAL FUND	295,000				
PARK & RECREATION	14,500				
SPECIAL STREET	48,000				
FIRE APPARATUS	74,000				
PROPRIETARY FUNDS	XXXXXXXX	XXXXXXXX	XXXXXXXX	XXXXXXXX	XXXXXXXX
FIDUCIARY FUNDS	XXXXXXXX	XXXXXXXX	XXXXXXXX	XXXXXXXX	XXXXXXXX
POLICE PENSION	6,200				
TOTAL ALL FUNDS					

FUND NAME: GENERAL FUND
FUND TYPE/CLASSIFICATION: GOVERNMENTAL — GENERAL

EXHIBIT I

This Exhibit is to be used for the General Fund Only

DESCRIPTION (1)	For 1996 Actual (2)	For 1997 Actual (3)	Current Year Estimated for 1998 (4)	Budget Year Estimated for 1999 (5)
REVENUES				
Local Taxes				
General Property Tax — Real Estate (HOMESTEAD)	220,789	272,292	335,000	340,000
Tangible Personal Property Tax	21,690	26,824	30,000	32,000
Municipal Income Tax				
Other Local Taxes TRAILER	236	191	250	250
Total Local Taxes	242,715	299,308	365,250	372,250
Intergovernmental Revenues				
State Shared Taxes and Permits				
Local Government	38,581	39,199	46,600	48,000
Estate Tax	131	287	250	250
Cigarette Tax			115	100
Licence Tax				
Liquor and Beer Permits	3,618	3,454	3,500	3,500
Gasoline Tax				
Library and Local Government Support Fund				
Property Tax Allocation				
Other State Shared Taxes and Permits HOTEL	196	149	200	200
Total State Shared Taxes and Permits	42,576	43,089		
Federal Grants or Aid	1,299	14,247	12,000	
State Grants or Aid				
Other Grants or Aid				
Total Intergovernmental Revenues	43,875	57,336	62,665	52,050
Special Assessments				
Charges for Services		17,189		
Fines, Licenses, and Permits	64,002	56,136	56,920	51,000
Miscellaneous 47,000 w/c - bus	67,537	129,690	87,400	41,100
Other Financing Sources:				
Proceeds from Sale of Debt PROPERTY			10,100	
Transfers				
Advances				
Other Sources				
TOTAL REVENUE	418,129	559,659	576,335	516,400

FUND NAME: GENERAL FUND
FUND TYPE/CLASSIFICATION: GOVERNMENTAL — GENERAL

EXHIBIT I

This Exhibit is to be used for the General Fund Only

DESCRIPTION (1)	For 19 ⁹⁶ Actual (2)	For 19 ⁹⁷ Actual (3)	Current Year Estimated for 19 ⁹⁸ (4)	Budget Year Estimated for 19 ⁹⁹ (5)
EXPENDITURES				
Security of Persons and Property <i>Encl Grant Sal</i>				
Personal Services	173,703	206,956	183,564	191,426
Travel Transportation	17,868	22,647	14,200	15,000
Contractual Services	26,465	28,554	32,800	34,000
Supplies and Materials	9,742	22,348	14,700	16,000
Capital Outlay	28,185	38,610	16,300	10,000
Total Security of Persons and Property	257,513	319,115	261,564	266,426
Public Health Services				
Personal Services				
Travel Transportation				
Contractual Services	602	1,156	1,350	1,520
Supplies and Materials				
Capital Outlay / CIVIL DEF. SIREN			3,500	
Total Public Health Services	602	1,156	4,850	1,520
Leisure Time Activities				
Personal Services				
Travel Transportation				
Contractual Services				
Supplies and Materials				
Capital Outlay				
Total Leisure Time Activities				
Community Environment				
Personal Services	1,800	3,300	6,950	6,950
Travel Transportation				
Contractual Services				
Supplies and Materials	1,632	789	800	1,000
Capital Outlay				
Total Community Environment	3,432	4,089	7,750	7,950
Basic Utility Services				
Personal Services				
Travel Transportation				
Contractual Services	12,267	23,998	21,000	22,000
Supplies and Materials				
Capital Outlay				
Total Basic Utility Services	12,267	23,998	21,000	22,000

FUND NAME: GENERAL FUND
FUND TYPE/CLASSIFICATION: GOVERNMENTAL — GENERAL

5
EXHIBIT I

This Exhibit is to be used for the General Fund Only

DESCRIPTION (1)	For 19 ⁹⁶ Actual (2)	For 19 ⁹⁷ Actual (3)	Current Year Estimated for 19 ⁹⁸ (4)	Budget Year Estimated for 19 ⁹⁹ (5)
Transportation				
Personal Services	4,165	2,008	4,350	4,350
Travel Transportation				
Contractual Services ENG FEE				40,000
Supplies and Materials	12,318	26,512		5,000
Capital Outlay				
Total Transportations	16,483	28,520	4,350	49,350
General Government				
Personal Services	72,922	83,650	64,050	66,050
Travel Transportation	1,286	2,048	1,000	1,000
Contractual Services / SPECIAL COUNCIL	63,000	66,260	68,400	55,000
Supplies and Materials / MISC.	8,549	11,709	15,000	15,000
Capital Outlay	5,698	2,854	13,905	5,000
Total General Government	151,455	166,521	163,355	142,050
Debt Service				
Redemption of Principal				
Interest				
Other Debt Service				
Total Debt Service				
Other Uses of Funds				
Transfers	7,000	6,800	6,000	5,000
Advances				
Contingencies				
Other Uses of Funds				
Total Other Uses of Funds	7,000	6,800	6,000	5,000
TOTAL EXPENDITURES	448,752	550,199	468,869	494,296
Revenues over/(under) Expenditures	(30,623)	9460	107,466	22,104
Beginning Unencumbered Balance	* 34,419	* 3,796	13,256	120,722
Ending Cash Fund Balance	3,796	13,256	120,722	142,826
Estimated Encumbrances (outstanding at year end)				
Estimated Ending Unencumbered Fund Balance	3,796	13,256	120,722	142,826

*Use Cash Balance

FUND NAME: FIRE APPARATUS, APPLIANCES & BLDG. (17)

FUND TYPE/CLASSIFICATION: SPECIAL FUND

Reproduce as needed

To be used for any fund receiving property tax revenue except the General Fund.

DESCRIPTION (1)	For 1996 Actual (2)	For 1997 Actual (3)	Current Year Estimated for 1998 (4)	Budget Year Estimated for 1999 (5)
REVENUE	XXXXXXXXX	XXXXXXXXX	XXXXXXXXX	XXXXXXXXX
LOCAL TAXES		74,136	74,100	74,100
HOMESTEAD			8,500	8,500
TOTAL REVENUE			82,600	82,600
EXPENDITURES (Identify each program and object code at the same level shown on Exhibit I) (PROGRAM) (OBJECT)	XXXXXXXXX	XXXXXXXXX	XXXXXXXXX	XXXXXXXXX
	XXXXXXXXX	XXXXXXXXX	XXXXXXXXX	XXXXXXXXX
	XXXXXXXXX	XXXXXXXXX	XXXXXXXXX	XXXXXXXXX
	XXXXXXXXX	XXXXXXXXX	XXXXXXXXX	XXXXXXXXX
SECURITY PERSONS & PROP GENERAL		3,635	1,050	
TRUCK LEASE PAYMENT		859		64,671
CAPITAL			55,544	15,000
ARCHITECT FEES			2,600	
TOTAL EXPENDITURES		4,494	59,224	79,671
Revenues Over (Under) Expenditures		69,642	23,376	2,929
Beginning Unencumbered Fund Balance (Use Actual Cash Balance In Col. 2 and 3)		-0-	69,642	93,018
Ending Cash Fund Balance		69,642	93,018	95,947
Estimated Encumbrances (outstanding at end of year)				
Estimated Ending Unencumbered Fund Balance				

6
FUND NAME: PARK & RECREATION (08)

FUND TYPE/CLASSIFICATION: LEISURE TIME ACTIVITY.

Reproduce as needed

To be used for any fund receiving property tax revenue except the General Fund.

DESCRIPTION (1)	For 19 ⁹⁶ Actual (2)	For 19 ⁹⁷ Actual (3)	Current Year Estimated for 19 ⁹⁸ (4)	Budget Year Estimated for 19 ⁹⁹ (5)
REVENUE	XXXXXXXXXX	XXXXXXXXXX	XXXXXXXXXX	XXXXXXXXXX
GENERAL REAL ESTATE	13,861	14,864	14,800	14,800
MISC. & HOMESTEAD	250	1,002	1,200	1,200
ADVERTISING REVENUE.			4,055	3,000
TOTAL REVENUE	14,111	15,866	20,055	19,000
EXPENDITURES (Identify each program and object code at the same level shown on Exhibit I) (PROGRAM) (OBJECT)	XXXXXXXXXX XXXXXXXXXX XXXXXXXXXX XXXXXXXXXX	XXXXXXXXXX XXXXXXXXXX XXXXXXXXXX XXXXXXXXXX	XXXXXXXXXX XXXXXXXXXX XXXXXXXXXX XXXXXXXXXX	XXXXXXXXXX XXXXXXXXXX XXXXXXXXXX XXXXXXXXXX
SALARY	3,287			
GENERAL OPERATION	2,873	6,810	22,000	13,700
CAPITAL	9,500		3,200	3,500
TOTAL EXPENDITURES	15,660	6,810	25,200	17,200
Revenues Over (Under) Expenditures	(1,549)	9,056	(5,145)	1,800
Beginning Unencumbered Fund Balance (Use Actual Cash Balance in Col. 2 and 3)	5,657	4,108	13,164	8,019
Ending Cash Fund Balance	4,108	13,164	8,019	9,819
Estimated Encumbrances (outstanding at end of year)				
Estimated Ending Unencumbered Fund Balance				

EXHIBIT III

FUND List All Funds Individually Unless Reported on Exhibit I or II	Estimated Unencumbered Fund Balance 1/1/99	Budget Year Estimated Receipt	Total Available For Expenditures	Budget Year Expenditures and Encumbrances			Estimated Unencumbered Balance 12/31/99
				Personal Services	Other	Total	
GOVERNMENTAL:	XXXXXXXXXX	XXXXXXXXXX	XXXXXXXXXX	XXXXXXXXXX	XXXXXXXXXX	XXXXXXXXXX	XXXXXXXXXX
SPECIAL SERVICE:	XXXXXXXXXX	XXXXXXXXXX	XXXXXXXXXX	XXXXXXXXXX	XXXXXXXXXX	XXXXXXXXXX	XXXXXXXXXX
STREET C/m/R	5,000	86,000	91,000	59,000	31,000	90,000	1,000
STATE HIGHWAY	500	6,400	6,900	3,500	3,000	4,500	400
HAM COUNTY JPA	800	4,000	4,800	3,500	1,500	4,000	200
HIV PERMISSIVE	61,000	17,500	78,500	4,000	30,000	23,000	50,500
HAM COUNTY MUN ROAD	4,786	0	4,786	0	4,786	4,786	0
LAU ENFORCEMENT	102	0	102	0	102	102	0
MANDATORY FINES	190	0	190	0	190	190	0
CRIMINAL ACT	0	0	190	0	190	190	0
TOTAL SPECIAL REVENUE FUNDS	71,688	113,900	185,588	74,000	59,488	133,488	52,100
DEBT SERVICE FUNDS	XXXXXXXXXX	XXXXXXXXXX	XXXXXXXXXX	XXXXXXXXXX	XXXXXXXXXX	XXXXXXXXXX	XXXXXXXXXX
WATER WORKS DEBT SVC	18,132	0	18,132	0	6,000	6,000	12,132
WATER WORKS OUBD	63,000	0	63,000	0	63,000	63,000	0
KOAN	0	0	0	0	0	0	0
TOTAL DEBT SERVICE FUNDS	81,132	0	81,132	0	69,000	69,000	12,132
CAPITAL PROJECT FUNDS	XXXXXXXXXX	XXXXXXXXXX	XXXXXXXXXX	XXXXXXXXXX	XXXXXXXXXX	XXXXXXXXXX	XXXXXXXXXX
TOTAL CAPITAL PROJECTS							

FUND List All Funds Individually Unless Reported on Exhibit I or II	Estimated Unencumbered Fund Balance 1/1/93	Budget Year Estimated Receipt	Total Available For Expenditures	Budget Year Expenditures and Encumbrances			Estimated Unencumbered Balance 12/31/92
				Personal Services	Other	Total	
PROPRIETARY: ENTERPRISE FUNDS	XXXXXXXXXXXX XXXXXXXXXXXX	XXXXXXXXXXXX XXXXXXXXXXXX	XXXXXXXXXXXX XXXXXXXXXXXX	XXXXXXXXXXXX XXXXXXXXXXXX	XXXXXXXXXXXX XXXXXXXXXXXX	XXXXXXXXXXXX XXXXXXXXXXXX	XXXXXXXXXXXX XXXXXXXXXXXX
WATER WORKS	70,000	540,000	610,000	190,800	350,500	541,300	68,700
WATER WORKS RPT	219,191	0	0			0	219,191
TOTAL ENTERPRISE FUNDS	289,191	540,000	610,000	190,800	350,500	541,300	287,891
INTERNAL SERVICE FUNDS	XXXXXXXXXXXX	XXXXXXXXXXXX	XXXXXXXXXXXX	XXXXXXXXXXXX	XXXXXXXXXXXX	XXXXXXXXXXXX	XXXXXXXXXXXX
TOTAL INTERNAL SERVICE FUNDS							
FIDUCIARY: TRUST AND AGENCY FUNDS	XXXXXXXXXXXX XXXXXXXXXXXX	XXXXXXXXXXXX XXXXXXXXXXXX	XXXXXXXXXXXX XXXXXXXXXXXX	XXXXXXXXXXXX XXXXXXXXXXXX	XXXXXXXXXXXX XXXXXXXXXXXX	XXXXXXXXXXXX XXXXXXXXXXXX	XXXXXXXXXXXX XXXXXXXXXXXX
M/S/D	11,000	226,500	237,500	0	226,000	226,000	11,500
TOTAL TRUST AND AGENCY FUNDS	11,000	226,500	237,500	0	226,000	226,000	11,500
TOTAL FOR MEMORANDUM ONLY							

ADDITIONAL SUPPORT INFORMATION

For Program Year 1999 (July 1, 1999 through June 30, 2000), jurisdictions shall provide the following support information to help determine which projects will be funded. Information on this form must be accurate, and where called for, based on sound engineering principles. Documentation to substantiate the individual items may be required by the Support Staff if information does not appear to be accurate.

- 1) What is the condition of the existing infrastructure to be replaced, repaired, or expanded? For bridges, submit a copy of the current State form BR-86.

Closed _____

Poor X

Fair _____

Good _____

Give a brief statement of the nature of the deficiency of the present facility such as: inadequate load capacity (bridge); surface type and width; number of lanes; structural condition; substandard design elements such as berm width, grades, curves, sight distances, drainage structures, or inadequate service capacity. If known, give the approximate age of the infrastructure to be replaced, repaired, or expanded.

The existing pavement has dilapidated beyond repair. There is no storm sewer on the street which accept a tremendous amount of water from the hillside and springs. The width of the pavement is not sufficient to convey emergency vehicles properly.

- 2) If State Capital Improvement Program Funds are awarded, how soon (in weeks or months) after receiving the Project Agreement from OPWC (tentatively set for July 1, 1999) would the project be under contract? The Support staff will be reviewing status reports of previous projects to help judge the accuracy of a particular jurisdiction's anticipated project schedule.

 4 weeks/months (Circle one)

Are preliminary plans or engineering completed?

Yes

No X

Are detailed construction plans completed?

Yes

No X

Are all right-of-way and easements acquired?*

Yes

No

N/A X

Please answer the following if applicable:

No. of parcels for project: _____ of these, how many are

Takes _____,

Temporary _____,

Permanent _____

On a separate sheet, explain the status of the ROW acquisition process of this project for any parcels not yet acquired.

Are all utility coordinations completed?

Yes

No X

N/A

Given an estimate of time, in weeks or months, to complete any item above not yet completed.

 4 weeks/months

- 3) How will the proposed project impact the general health, safety and welfare of the service area? (Typical examples may include the effects of the completed project on accident rates, emergency response time, fire protection, health hazards, user benefits, commerce, and highway capacity). Please be specific and provide documentation if necessary to substantiate the data.

By widening the existing pavement. emergency vehicles will be able to traverse this street to supply needed services to the residents of Cleves.

- 4) What type of funds are to utilized for the local share for this project?

Federal_____ ODOT_____ Local X

MRF _____ OWDA_____ CDBG_____

Other_____

Note: If MRF funds are being used for the local share, the MRF application must have been filed by August 1, 1998 for this project with the Hamilton County Engineer's Office.

The minimum amount of matching funds for grant projects (local share) must be at least 10% of the TOTAL CONSTRUCTION COST. What percentage of matching funds are being committed to this project?

10 %

- 5) Has any formal action by a federal, state, or local government agency resulted in a complete or partial ban of the use or expansion of use for the involved infrastructure? (Typical examples include weight limits, truck restrictions, and moratoriums or limitations on issuance of building permits). A copy of the approved legislation must be submitted with the application. THE BAN MUST HAVE AN ENGINEERING JUSTIFICATION TO BE VALID.

Complete Ban_____ Partial Ban_____ No Ban X

Will the ban be removed after the project is completed?

Yes_____ No_____

- 6) What is the total number of existing users that will benefit as a result of the proposed project?

ADT = 208 x 1.2 = 250 users per day

For roads and bridges, multiply current documented Average Daily Traffic by 1.20. For public transit, submit documentation substantiating the count. Where the facility currently has any restrictions or is partially closed, use documented traffic counts prior to the restriction. For storm sewers, sanitary sewers, water lines, and other related facilities, multiply the number of households in the service area by 4.

- 7) Has the jurisdiction developed a Five Year Capital Improvement Plan as required in O.R.C, chapter 164?

Yes X No

- 8) Give a brief statement concerning the regional significance of the infrastructure to be replaced, repaired, or expanded.

This project will only affect the residents of Cleves.

- 9) For roadway betterment projects, provide the existing and proposed Level of Service (LOS) of the facility using the methodology outlined within AASHTO'S "Geometric Design of Highways and Streets" and the 1985 Highway Capacity Manual.

Existing LOS Proposed LOS

If the proposed LOS is not "C" or better, explain why LOS "C" cannot be achieved. (Attach separate sheets if necessary.)

**SCIP/LTIP PROGRAM
ROUND 13 - PROGRAM YEAR 1999
PROJECT SELECTION CRITERIA
JULY 1, 1999 TO JUNE 30, 2000**

JURISDICTION/AGENCY: VILLAGE OF CLAVIS
NAME OF PROJECT: SPRING STREET RECONSTRUCTION
PRELIMINARY SCORE FOR THIS PROJECT: 57
FINAL SCORE FOR THIS PROJECT: _____
RATING TEAM: 3

- POINTS
- 1) If SCIP/LTIP funds are granted, when would the construction contract be awarded? (See Addendum for definition of delinquency) 15
- 5 Points - Will be under contract by end of 1999 and no delinquent projects in Rounds 10 & 11.
- 3 Points - Will be under contract by March 30, 2000 and/or Jurisdiction has had one delinquent project in Rounds 10 & 11.
- 0 Points - Will not be under contract by March 30, 2000 and/or Jurisdiction has had more than one delinquent project in Rounds 10 & 11.
- 2) What is the physical condition of the existing infrastructure to be replaced or repaired? (See Addendum for definitions) 25
- 25 Points - Failed
- 23 Points - Critical
- 20 Points - Very Poor
- 17 Points - Poor
- 15 Points - Moderately Poor
- 10 Points - Moderately Fair
- 5 Points - Fair Condition
- 0 Points - Good or Better

NOTE: If the infrastructure is in "good" or better condition, it will NOT be considered for SCIP/LTIP funding unless it is an expansion Project that will improve serviceability.

3) If the project is built, what will be its effect on the facility's serviceability? Documentation is required.

- 5 Points - Project design is for future demand. 1
4 Points - Project design is for partial future demand.
3 Points - Project design is for current demand.
2 Points - Project design is for minimal increase in capacity.
1 Point - Project design is for no increase in capacity.

4) How important is the project to *HEALTH, SAFETY, AND WELFARE* of the Public and the citizens of the District and/or service area? (See Addendum for definitions)

- 10 Points - Highly significant importance, with substantial impact on all 3 factors. 8
8 Points - Considerably significant importance, with substantial impact on 2 factors, or noticeable impact on all 3 factors.
6 Points - Moderate importance, with substantial impact on 1 factor or noticeable impact on 2 factors.
4 Points - Minimal importance, with noticeable impact on 1 factor
2 Points - No measurable impact

5) What is the overall economic health of the jurisdiction?

- 10 Points
8 Points
6 Points
4 Points
2 Points 10

6) What matching funds are being committed to the project, expressed as a percentage of the *TOTAL CONSTRUCTION COST*? Loan and Credit Enhancement projects automatically receive 5 points, and no match is required; however, up to 5 additional points will be awarded according to the Loan & Credit Enhancement scale as stated below. All grant-funded projects require a minimum of 10% matching funds. Points will be awarded according to the following schedule:

<u>Projects below \$1,000,000</u>	<u>Projects \$1M to \$2M</u>	<u>Projects above \$2M</u>
10 Pts - 50% or more	10 Pts - 60% or more	10 Pts - 70% or more
8 Pts - 40% to 49.99%	8 Pts - 50% to 59.99%	8 Pts - 60% to 69.99%
6 Pts - 30% to 39.99%	6 Pts - 40% to 49.99%	6 Pts - 50% to 59.99%
4 Pts - 20% to 29.99%	4 Pts - 30% to 39.99%	4 Pts - 40% to 49.99%
2 Pts - 10% to 19.99%	2 Pts - 20% to 29.99%	2 Pts - 30% to 39.99%
	0 Pts - 10% to 19.99%	0 Pts - 10% to 29.99%

Loans & Credit Enhancements

- 5 Pts - 50% or more
4 Pts - 40% to 49.99%
3 Pts - 30% to 39.99%
2 Pts - 20% to 29.99%
1 Pt - 10% to 19.99% 2

- 7) Has any formal action by a federal, state, or local government agency resulted in a partial or complete ban of the usage or expansion of the usage for the involved infrastructure? POINTS MAY ONLY BE AWARDED IF THE END RESULT OF THE PROJECT WILL CAUSE THE BAN TO BE LIFTED.

5 Points - Complete ban
3 Points - Partial ban
0 Points - No ban of any kind

0

- 8) What is the total number of existing daily users that will benefit as a result of the proposed project? Appropriate criteria include current traffic counts, households served, when converted to a measurement of persons. Public transit users are permitted to be counted for the roads and bridges, but only when certifiable ridership figures are provided.

5 Points - 16,000 or more
4 Points - 12,000 to 15,999
3 Points - 8,000 to 11,999
2 Points - 4,000 to 7,999
1 Point - 3,999 and under

1

- 9) Does the infrastructure have regional impact? Consider originations and destinations of traffic, functional classifications, size of service area, number of jurisdictions served, etc. (See Addendum for definitions)

5 Points - Major impact
4 Points -
3 Points - Moderate impact
2 Points -
1 Point - Minimal or no impact

2

- 10) Has the jurisdiction enacted the optional \$5 license plate fee, an infrastructure levy, a user fee, or a dedicated tax for infrastructure and provided certification of which fees have been enacted?

5 Points - Two of the above
3 Points - One of the above
0 Points - None of the above

3

ADDENDUM TO THE RATING SYSTEM

DEFINITIONS/CLARIFICATIONS

Criterion 1 - ABILITY TO PROCEED

The Support Staff will assign points based on engineering experience and OPWC defined delinquent projects. A project will be considered delinquent when any of the following occurs: 1) A letter is sent from the OPWC to the affected jurisdiction stating that the project has not moved in accordance with the time frame listed on the application (copies are sent to the District); or 2) no time extension has been granted by the OPWC; or 3) A jurisdiction receiving approval for a project subsequently terminates the same after the bid date on the application. The OPWC sends a letter to a jurisdiction which announces that its' project is going to be terminated when the project is sixty (60) days beyond the bid date shown on the original application and a time extension for the project has not previously been requested or has been denied.

Criterion 2 - CONDITION

Condition is based on the amount of deterioration that is field verified or documented exclusive of capacity, serviceability, or health, safety and welfare issues. Condition is rated only on the existing facility being repaired or abandoned. If the existing facility is not being abandoned or repaired, but a new facility is being built, it shall be considered as an expansion project. (Documentation may include ODOT BR-86 reports, pavement management condition reports, televised underground system reports, age inventory reports, maintenance records, etc., and will only be considered if included with the original application.)

Definitions:

FAILED CONDITION - Requires complete reconstruction where no part of the existing facility is salvageable. (E.g. Roads: complete reconstruction of roadway, curbs and base; Bridges: no part of the bridge can be salvaged; Underground: removal and replacement of an underground drainage or water system; Hydrants: completely non-functioning and replacement parts are unavailable.)

CRITICAL CONDITION - Requires moderate or partial reconstruction to maintain integrity. (E.g. Roads: reconstruction of roadway, curbs can be saved; Bridges: only the substructure can be salvaged with modifications; Underground: removal and replacement of part of an underground drainage or water system; Hydrants: some non-functioning, others obsolete and replacement parts are unavailable.)

VERY POOR CONDITION - Requires extensive rehabilitation to maintain integrity. (E.g. Roads: extensive full depth, partial depth and curb repair of a roadway with a structural overlay; Bridges: substructure and superstructure can be salvaged with extensive repairs; Underground: repair of joints and/or minor replacement of pipe sections; Hydrants: non-functioning and replacement parts are available.)

POOR CONDITION - Requires standard rehabilitation to maintain integrity. (E.g. Roads: moderate full depth, partial depth and curb repair to a roadway with no structural overlay needed or structural overlay with minor repairs to a roadway needed; Bridges: deck cannot be salvaged, substructure and superstructure need repair; Underground: insituform or other in ground repairs; Hydrants: functional, but leaking and replacement parts are unavailable.)

MODERATELY POOR CONDITION - Requires minor rehabilitation to maintain integrity. (E.g. Roads: minor full depth, partial depth or curb repairs to a roadway with either a thin overlay or no overlay needed; Bridges: deck can be salvaged with repairs and overlay; Hydrants: functional and replacement parts are available.)

MODERATELY FAIR CONDITION - Requires extensive maintenance to maintain integrity. (E.g. Roads: thin or no overlay with extensive crack sealing, minor partial depth and/or slurry or rejuvenation; Bridges: deck rehabilitation required, overlay not required.)

FAIR CONDITION - Requires routine maintenance to maintain integrity. (e.g. Roads: slurry seal, rejuvenation or routine crack sealing to the roadway; Bridges: minor rehabilitation required.)

GOOD OR BETTER CONDITION - Little or no maintenance required to maintain integrity; Bridges: no work required.

Criterion 4 - *HEALTH, SAFETY & WELFARE*

Definitions:

SAFETY - The design of the project will prevent accidents, promote safer conditions, and eliminate or reduce the danger of risk, liability, or injury.

EXAMPLES: Widening existing roadway lanes to standard lane widths; Adding lanes to a roadway or bridge to increase capacity or alleviate congestion; replacing old or non-functioning hydrants; increasing capacity to a water system, etc.

HEALTH - The design of the project will improve the overall condition of the facility so as to reduce or eliminate disease; or correct concerns regarding the environmental health of the area.

EXAMPLES: Improving or adding storm drainage or sanitary facilities; replacing lead joints in water lines;

WELFARE - The design of the project will promote economic well-being and prosperity.

EXAMPLES: Project has the potential to improve business expansions or opportunities in the area; project will improve the quality of life in the area;

PLEASE NOTE: The examples listed above are NOT a complete list, but only a small sampling of situations that may be relevant to any given project. Each project is looked at on an individual basis to determine if any aspects of this rating category apply, and if so, to what severity level (minor or significant).

The severity and extent of the problem, as it relates to Health, Safety and Welfare, MUST be fully detailed by the applicant and apparent to the rating team. The Support Staff will not attempt to determine these issues on its own.

Without such detail the jurisdiction should expect a lower rating than the project may deserve.

Criterion 9 - *REGIONAL IMPACT*

Definitions:

MAJOR IMPACT - Roads: major multi-jurisdictional route, primary feed to an interstate, Federal Aid Primary routes; Underground: primary water or sewer main serving and entire system; Hydrants: multi-jurisdictional.

MODERATE IMPACT - Roads: principal thoroughfares, Federal Aid Urban routes; Underground: primary water or sewer main serving only part of a system; Hydrants: all hydrants in a local system serving only one jurisdiction.

MINIMAL/NO IMPACT - Roads: cul-de-sacs, subdivision streets; Underground: individual water or sewer main not part of a large system; Hydrants: only some hydrants in a local system serving only one jurisdiction.